## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No .: 2001,1697A

First Named Inventor: Toshiharu HAYASHI et al.

**COVER FOR SECONDARY BATTERY** 

Express Mail Label No .:

**Assistant Commissioner for Patents** 

ADDRESS TO: **Box Patent Application** Washington, D.C. 20231

7. || CD-ROM or CD-R in duplicate, large table or computer program (Appendix)

8. [] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. [] Computer Readable Form

b. Specification Sequence Listing on:

i. [] CD-ROM or CD-R (2 copies); or

ii. [] Paper

c. [] Statement verifying identity of above copies

#### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Fee Transmittal Form (Submit an original, and a duplicate for fee processing)

2. [X] Small Entity Status is hereby asserted.

3. [X] Specification [Total Pages: 13]

(preferred arrangement set forth below)

Descriptive title of the Invention

Cross References to Related Applications

Statement Regarding Fed sponsored R & D

Reference to Sequence Listing, a table, or a computer program listing appendix.

Background of the Invention

Brief Summary of the Invention

Brief Description of the Drawings (if filed)

**Detailed Description** 

- Claim(s)

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Abstract of the Disclosure

Drawing(s) (35 USC 113)

[Total Sheets: 3]

54 [X] Oath or Declaration

[Total Pages: 4]

a.1. [X] Newly executed (original or copy)

a.2. [] Unexecuted

[] Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)

i. () DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. [] Application Data Sheet (see 37 CFR 1.76)

ACCOMPANYING APPLICATION PARTS

9. [X] Assignment Papers (cover sheet & document(s))

37 CFR 3.73(b) Statement (when there is an assignee)

[] Power of Attorney

11. [] English Translation Document (if applicable)

Information Disclosure Statement (IDS)/PTO-1449 12. [J

{} Copies of IDS Citations

13. [X] Preliminary Amendment

14. [X] Return Receipt Postcard (MPEP 503) (Should be specifically

itemized)

15. [X] Certified Copy of Priority Document(s)

(if foreign priority is claimed)

16. [] Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).

Applicant must attach form PTO/SB/35 or its equivalent.

17. [] Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :

[] Continuation

[] Divisional

[] Continuation-in-part (CIP)

of prior application No.

Prior Application Information: Examiner

Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS



000513 PATENT TRADEMARK OFFICE WENDEROTH, LIND & PONACK, L.L.P.

2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone: (202) 721-8200 Fax: (202) 721-8250

Registration No. 33,142

November 14, 2001



In re application of

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975

Toshiharu HAYASHI et al.

Attn: APPLICATION BRANCH

Serial No. NEW

Attn: APPLICATION BRANCH

Filed November 14, 2001

Attorney Docket No. 2001\_1697A

COVER FOR SECONDARY BATTERY

## PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents, Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$410.00 to cover Patent Office fees relating to filing the following attached papers:

New application \$\frac{\$370.00}{\$Assignment for Recordal}\$

Additional Claims Fee:

Excess of Twenty \$\frac{\$\$Independent}{\$}\$

Multiple Dependent Fee \$\frac{\$}{\$}\$

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Toshiharu HAYASHI et al.

By on Watto

Charles R. Watts

Registration No. 33,142 Attorney for Applicants

CRW/asd WENDEROTH, LIND & PONACK, L.L.P. 2033 K St., N.W., Suite 800

Washington, D.C. 20006-1021 Telephone (202) 721-8200

November 14, 2001

[Check No. 47475

2001\_1697A

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Toshiharu HAYASHI et al.

Attn: APPLICATION BRANCH

Serial No. NEW

Docket No. 2001 1697A

Filed November 14, 2001

**COVER FOR SECONDARY BATTERY** 

# SUBMISSION OF ENGLISH/JAPANESE DECLARATION AND POWER OF ATTORNEY

Assistant Commissioner for Patents, Washington, DC 20231

Sir:

The English language text contained in the attached Declaration and Power of Attorney is an accurate translation of the Japanese language text contained in the attached Declaration and Power of Attorney.

Respectfully submitted,

Toshiharu HAYASHI et al.

Charles R. Watts

By on a Motto

Registration No. 33,142

Attorney for Applicants

CRW/asd Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 November 14, 2001